RANSMITTAL OF INFORMATION DISCLOSURES TATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 00016-00 6 2002 In Re Application Of: Ram B. Gupta, Hargurpreet Singh, Russell C. Cappadona Serial No. Filing Date Examiner **Group Art Unit** 10/039,933 November 9, 2001 Not Yet Known Not Yet Known Title: Phényl Ether Substituted Hydroxyphenyl Triazine Ultraviolet Light Absorbers PECEIVED 17002 APR 1 6 2002 TRADEN Address to: **Assistant Commissioner for Patents** Washington, D.C. 20231 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

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In Re Application:	Ram B. Gupta, Hargurpreet Si	ingh, Russell C. Cappadona					
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James a.	•	Dated: April 17, 2	2002				
Patent Law Department							
1937 W. Main St. / P.O.							
Stamford, CT 06904-006 Tel: (203) 321-2925 Fax:							
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APPLICANT(S)

Ram Gupta, Hargurpreet Singh, Russell Cappadona GROUP

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SERIAL NO. ATTY DOCKET NO. 10/039,933 00016-00 INFORMATION DISCLOSURECITATION APPLICANT(S) Ram Gupta, Hargurpreet Singh, Russell Cappadona (Use several sheets if necessary) APR 1 6 2002 GROUP FILING DATE November 9, 2001 Not Yet Known TRADEMAR **U.S. PATENT DOCUMENTS** FILING DATE *EXAMINER CLASS SUBCLASS DATE NAME DOCUMENT NUMBER IF APPROPRIATE INITIAL Aug 11 1986 87 524 Susi $\mathbf{A}\mathbf{A}$ 4,740,542 Apr 26 Jun 22 1987 91 524 AB 4,775,707 Oct 4 1988 Slongo et al. 544 216 Dec 29 1987 \mathbf{AC} 4,826,978 May 2 1989 Migdal et al. 428 334 Apr 22 1988 AD 4,948,666 Aug 14 '90 Paul et al. 100 Nov 14 1988 AE 524 4,962,142 Oct 9 1990 Migdal et al. AF Cortolano et al. 524 99 Oct 3 1989 5,004,770 Apr 2 1991 524 95 Oct 19 1988 AG 5,006,577 Apr 9 1991 Behrens et al. 260 Oct 24 1989 548 AΗ 5,030,731 Jul 9 1991 Slongo et al. 524 95 Oct 19 1988 ΑI Nov 12 1991 Behrens et al. 5,064,883 91 Mar 22 1991 ΑJ 524 Valet 5,106,891 Apr 21 '92 ΑK 5,106,972 Apr 21 '92 Burdeska et al. 544 219 Aug 5 1991 **FOREIGN PATENT DOCUMENTS** TRANSLATION CLASS SUBCLASS DOCUMENT NUMBER DATE COUNTRY YES NO AL EP 0704437 A2 Apr 3 1996 Europe AM EP 0704437 A3 Oct 23 1996 Europe ANEP 0779280 A1 Jun 18 1997 **Europe** ΑO May 25 '00 **PCT** WO 00/29392 AР **PCT** Sep 19 1996 WO 96/28431 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages,

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